



ISA online transfer authority

Date issued: 16 June 2021

This is the authority for the transferring provider named below to transfer your investments to Quilter.

Transferring provider details

Transferring provider name:

Transferring provider address:

Customer details

Account holder:

Date of birth:

Residential address:

National insurance number:

Account details

Type of transfer:	Cash Transfer
Full or partial transfer:	Full transfer
Transfer in respect of:	Previous tax year(s) only
Transferring provider reference number:	G506617
Estimated transfer value:	£55,491.00
Quilter's HMRC reference:	C9339

Please note: for cash transfers, if any assets are suspended and cannot therefore be sold:

- for a full transfer, we will arrange to re-register the suspended asset (where possible) provided at least one other asset can be transferred as cash
- for a partial transfer, we will seek revised instructions.

Quilter House
Portland Terrace
Southampton, SO14 7EJ

T: 0808 171 2626
E: ask@quilter.com
W: platform.quilter.com

Transfer authority

This authority is for the transferring provider ('ISA manager') detailed in this form.

1. I authorise the ISA Manager named in this form to provide Quilter Investment Platform Limited with any information it may require.
2. I confirm that this transaction is exempt from Stamp Duty Reserve Tax (SDRT) by virtue of Paragraph 6 of Schedule 19 of the Finance Act 1999.
3. **If I am transferring a cash ISA**, I authorise the ISA Manager to transfer the cash in pounds sterling to Quilter Investment Platform Limited for investment in the funds I have chosen.
4. **If I am transferring a stocks and shares ISA and I have requested re-registration:**
 - I authorise the ISA Manager to re-register the investments as detailed with immediate effect to Quilter Investment Platform Nominees Limited - Designation: 'QIPNL' Quilter House, Portland Terrace, Southampton, SO14 7EJ.
 - I understand that where Quilter holds a preferential share class for an asset being re-registered, Quilter will convert the asset to the preferential share class once it has been re-registered.
 - I authorise the ISA Manager to sell any of my investments that cannot be re-registered for whatever reason, and to transfer the cash proceeds (if possible) in pounds sterling to Quilter.
 - I confirm that the re-registration of the investments held will not change the beneficial ownership from the current holder.
5. **If I am transferring a stocks and shares ISA and I have requested cash transfer:**
 - I authorise the ISA Manager, to sell the investments in my ISA and to transfer the cash proceeds (if possible) in pounds sterling to Quilter.
 - and an asset included in my request is suspended, I authorise the ceding provider to re-register the asset in line with point 4.
6. I authorise the ISA manager to transfer any cash proceeds to Quilter Investment Platform Limited (Natwest, sort code 56-00-68, account number 44842880) and to state my name and postcode on the reference field of the bank transfer, to help Quilter process the application.
7. If I request to transfer the ISA before its maturity date, I accept any charges relating to early closure.
8. I understand that any sale of Exchange Traded Instruments (ETIs) may incur the ceding manager's dealing charges.

ISA maturity date, if applicable (dd/mm/yyyy) / / 2 0

If you want the ISA transferred BEFORE the maturity date, tick here. In ticking here, you will be accepting any charges relating to early closure.

► Your current ISA Manager or financial adviser will be able to confirm if early closure penalties apply

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Signature

Customer name:

Date (dd/mm/yyyy)

<input type="text"/>	<input type="text"/>	/	<input type="text"/>	<input type="text"/>	/	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>
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Please be aware that calls and electronic communications may be recorded for monitoring, regulatory and training purposes and records are available for at least five years.

Quilter is the trading name of Quilter Investment Platform Limited which provides an Individual Savings Account (ISA), Junior ISA (JISA) and Collective Investment Account (CIA) and Quilter Life & Pensions Limited which provides a Collective Retirement Account (CRA) and Collective Investment Bond (CIB).

Quilter Investment Platform Limited and Quilter Life & Pensions Limited are registered in England and Wales under numbers 1680071 and 4163431 respectively. Registered Office at Senator House, 85 Queen Victoria Street, London, EC4V 4AB, United Kingdom. Quilter Investment Platform Limited is authorised and regulated by the Financial Conduct Authority. Quilter Life & Pensions Limited is authorised by the Prudential Regulation Authority and regulated by the Financial Conduct Authority and the Prudential Regulation Authority. Their Financial Services register numbers are 165359 and 207977 respectively. VAT number 386 1301 59.

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